

COMPLAINTS HANDLING POLICY AND PROCEDURES

1. OBJECTIVE OF THE POLICY

Lloyds Energy Ltd seeks to maintain and enhance our reputation of providing you with high quality products and services. We value complaints as they assist us to improve our products, services and customer service.

Lloyds Energy Ltd is committed to being responsive to the needs and concerns of our customers or potential Customers and to resolving your complaint as quickly as possible.

This policy has been designed to provide guidance to both our customers and staff on the manner in which Lloyds Energy Ltd receives and manages your complaint. We are committed to being consistent, fair and impartial when handling your complaint.

The objective of this policy is to ensure:

- . You are aware of our complaint lodgement and handling processes,
- . Both you and our staff understand our complaints handling process,
- . Your complaint is investigated impartially with a balanced view of all information or evidence,
- · We take reasonable steps to actively protect your personal information,
- . Your complaint is considered on its merits taking into account individual circumstances and needs.

2. DEFINITION OF A COMPLAINT

In this policy a complaint means an expression of dissatisfaction by a customer relating to Energy Supply Contracts provided by us.

HOW A COMPLAINT CAN BE MADE

If you are dissatisfied with a Energy Contract provided by us, you should in the first instance consider speaking directly with the staff member/s you have been dealing with. If you are uncomfortable with this or consider the relevant staff member is unable to address your concerns you can lodge a complaint with us in one of the following ways:

- . By completing a feedback form on our website www.lloydsenergyltd.co.uk
- By telephoning us on 0161 850 7580
- By writing to us 47 Boodle Street, Ashton-under-Lyne OL6 8NF
- By emailing us scott@lloydsenergyltd.co.uk
- In person by speaking to any of our customer service staff.

If we receive your complaint verbally and we consider it appropriate, we may ask you to put your complaint in writing.

4. THE INFORMATION YOU WILL NEED TO TELL US

When we are investigating your complaint we will be relying on information provided by you and information we may already be holding. We may need to contact you to clarify details or request additional information where necessary. To help us investigate your complaint quickly and efficiently we will ask you for the following information:

- · Your name and contact details.
- . The name of the person you have been dealing with about your Energy Contract,
- . The nature of the complaint.
- . Details of any steps you have already taken to resolve the compliant,
- Details of conversations you may have had with us that may be relevant to your complaint,
- · Copies of any documentation which supports your complaint.

5. HELP WHEN MAKING A COMPLAINT

The person receiving or managing your complaint should provide you with any assistance you may need to make your complaint. However if you consider you need further assistance please contact: Scott Burgess on 0161 850 7580 or email at scott@lloydsenergyltd.co.uk

6. RECORDING COMPLAINTS

When taking a complaint, we will record your name and contact details. We will also record all details of your complaint including the facts and the cause/s of your complaint, the outcome and any actions taken following the investigation of your complaint. We will also record all dates and times relating to actions taken to resolve the complaint and communications between us.

As part of our on-going improvement plan, complaints will be monitored for any identifying trends by management and rectification/remedial action taken to mitigate any identified issues.

If you lodge a complaint we will record your personal information solely for the purposes of addressing your complaint. Your personal details will actively be protected from disclosure, unless you expressly consent to its disclosure.

RECORDING COMPLAINTS

Lloyds Energy Ltd is committed to resolving your issues at the first point of contact, however, this will not be possible in all circumstances, in which case a more formal complaints process will be followed.

We will acknowledge receipt of your complaint within three (3) business days. Once your complaint has been received, we will undertake an initial review of your complaint.

There may be circumstances during the initial review or investigation of your complaint where we may need to clarify certain aspects of your complaint or request additional documentation from you. In such circumstances we will explain the purpose of seeking clarification or additional documentation and provide you with feedback on the status of your complaint at that time.

7. RECORDING COMPLAINTS (CONTINUED)

We are committed to resolving your complaint within 10 business days of you lodging your complaint, however, this may not always be possible on every occasion. Where we have been unable to resolve your complaint within 10 business days, we will inform you of the reason for the delay and specify a date when we will be in a position to finalise your complaint.

During the initial review or investigation stage we may need to seek further clarification or documentation from you to assist us in resolving your complaint.

If we have sought clarification or additional documentation from you and we are waiting on you to provide this information, we may not be able to meet our 10 business day finalisation commitment. In such circumstances upon receipt of your clarification or additional documentation we will indicate to you when we expect to be able to finalise your complaint.

Once we have finalised your complaint, we will advise you of our findings and any action we have taken. We will do this in writing, unless it has been mutually agreed that we can provide it to you verbally.

You have the right to make enquiries about the current status of your complaint at any time by contacting us.

8. WHEN YOU COMPALIN ABOUT ONE OF OUR EMPLOYEES

If you complain about a member of our staff, we will treat your complaint confidentially, impartially and equally (giving equal treatment to all people). We will investigate your complaint thoroughly by finding out the relevant facts, speaking with the relevant people and verifying explanations where possible.

We will also treat our staff member objectively by:

- · informing them of any complaint about their performance.
- · Providing them with an opportunity to explain the circumstances,
- · Providing them with appropriate support,
- . Updating them on the complaint investigation and the result.

OUR COMPLAINTS ESCALATION PROCESS

Where possible, we will attempt to resolve your complaint at the first point of contact. If we are unable to resolve your complaint at the first point of contact, we will undertake an investigation of your complaint and provide you with our findings.

If you are not satisfied with how your complaint has been handled, the resolution provided by us, or your complaint has remained unresolved for more than eight weeks, you can escalate your complaint to the Ombudsman Services. The Ombudsman Service is impartial and free to use in an attempt to resolve your complaint through consultation, by working with both you and us, to determine the relevant facts and establish a common ground.

The Ombudsman Services will remain open and impartial throughout the consultative process and consider your complaint and our actions, in attempting to resolve your complaint on their merits.

9. OUR COMPLAINTS ESCALATION PROCESS (CONTINUED)

The Ombudsman Services can be contacted via:

Post: Ombudsman Services: Energy, P.O. Box 966, Warrington, WA4 9DF

Phone: 0330 440 1624

Email: enquiry@ombudsman-services.org